

#3

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Kouznetsov et al.

Application No: 09/922,329

Filed: August 2, 2001

Title: System And Method To Verify Trusted  
Status Of Peer In A Peer-To-Peer  
Network Environment

Examiner: Unknown

Art Unit: 2151

Attorney Docket No. NETAP011

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Petitions, Washington, DC 20231 on October 3, 2001.

Signed: \_\_\_\_\_

Jung-hua Kuo

PETITION UNDER 37 CFR §1.182 TO CHANGE ORDER OF INVENTORS

Assistant Commissioner for Patents  
Office of Petitions  
Washington, D.C. 20231

FAX RECEIVED

FEB 06 2003

PETITIONS OFFICE

Sir:

The Filing Receipt for the above-referenced patent application identifies the inventors in an order that is different from that shown in the Utility Patent Application Transmittal as well as the patent application cover sheet, copies of which are attached.

Applicants hereby petition the Commissioner to change the order of the inventors to as follows:

Victor Kouznetsov, Aloha, OR  
Charles L. Vigue, Lapine, OR  
Martin Fallenstedt, Beaverton, OR  
Daniel Melchione, Beaverton, OR.

In the unlikely event that the transmittal letter accompanying this document is separated from this document and the Patent Office determines that an Extension of Time under 37 CFR

1.136 and/or any other relief is required, Applicant hereby petitions for any required relief including Extensions of Time and/or any other relief and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1217 (Order No. NETAP011).

If the Office of Petition has any questions concerning this matter, please contact the undersigned at the number set forth below.

Respectfully submitted,



Jung-hua Kuo  
Reg. No. 41,918  
P.O. Box 3275  
Los Altos, CA 94024  
Telephone: (650) 988-8070  
Facsimile: (650) 988-8090

## FACSIMILE COVER SHEET

Jung-hua Kuo

Attorney at Law  
P.O. Box 3275  
Los Altos, CA 94024  
Tel: 650-988-8070  
Fax: 650-988-8090  
jkuo@kuolaw.com

Date: February 6, 2003

---

### CONFIDENTIALITY NOTICE

The information contained in this facsimile message may include confidential and/or attorney-client privileged information and is intended only for the use of the recipient or firm listed below. If the reader of this facsimile is not the intended recipient or authorized to receive for the recipient, the reader is hereby notified that any dissemination, distribution, use, or copy of this facsimile or any information contained in this facsimile is strictly prohibited. If the reader has received this facsimile in error, please immediately notify the sender at the above-listed telephone number or email address and return the original facsimile to the sender at the above-listed address via the United States Postal Service. Thank you for your assistance and cooperation.

---

Sender: Jung-hua Kuo

Re: Application No: **09/922,329**  
Attorney Docket Number: NETAP011  
**Petition to Change Order of Inventors filed Oct. 3, 2001**

Pages: 9 (including this cover page)

To: **Office of Petitions**  
Company: **USPTO**  
Fax No.: **703-308-6916**  
Phone No.: **703-305-9285**

**FAX RECEIVED**

**FEB 06 2003**

**PETITIONS OFFICE**

### MESSAGE:

To The Office of Petitions:

Attached are:

- (1) copy of the Petition to Change Order of Inventors as filed on Oct. 3, 2001;
- (2) copy of the Return Receipt Postcard bearing the USPTO stamp dated Oct. 9, 2001; and
- (3) copy of Deposit Account Statement for October 2001 showing the Petition Fee of \$130 having been charged to the Deposit Account on Oct. 11, 2001.

To date, no response regarding the petition has been received. A response is hereby respectfully requested or resent. If the Office of Petition has any questions concerning this matter, please contact the undersigned at the number set forth above.

Respectfully submitted,



Jung-hua Kuo (Attorney of Record)  
Reg. No. 41,918

**COPY****UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/922,329	08/02/2001	2151	710	NETAP011	10	18	2

CONFIRMATION NO. 7400

23689  
Jung-hua Kuo  
Attorney At Law  
PO Box 3275  
Los Altos, CA 94024

FILING RECEIPT



\*OC000000006548032\*

FAX RECEIVED

FEB 06 2003

Date Mailed: 09/13/2001

PETITIONS OFFICE

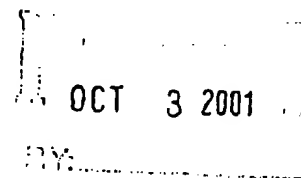
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Charles L. Vigue, Lapine, OR;  
Martin Fallenstedt, Beaverton, OR;  
Daniel Melchione, Beaverton, OR;  
Victor Kouznetsov, Aloha, OR;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/282,333 04/06/2001  
AND CLAIMS BENEFIT OF 60/298,681 06/15/2001

**Foreign Applications**

If Required, Foreign Filing License Granted 09/12/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

J. KUO, ATTY AT LAW

OCT 3 2001

DOCKETED

**Title**

System and method to verify trusted status of peer in a peer-to-peer network environment

**Preliminary Class**

**UTILITY PATENT APPLICATION TRANSMITTAL**

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

**Attorney Docket No.  
NETAP011****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of ( ) application identifier or (X) named inventor(s), Victor Kouznetsov, Aloha, OR; Charles L. Vigue, Lapine, OR; Martin Fallenstedt, Beaverton, OR; Daniel Melchione, Beaverton, OR; entitled **SYSTEM AND METHOD TO VERIFY TRUSTED STATUS OF PEER IN A PEER-TO-PEER NETWORK ENVIRONMENT**, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_

( ) Please add after the title of the application "This is a

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is hereby incorporated by reference."

(X) This application claims the priority benefit of U.S. Provisional Application Nos. 60/282,333 filed April 6, 2001 and 60/298,681 filed June 15, 2001.

( ) Applicant hereby claims small entity status.

**COPY****FAX RECEIVED****FEB 06 2003****PETITIONS OFFICE**

## Enclosed are:

(X) Specification: 36 Total Pages.(X) Drawing(s): 10 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.

( ) Preliminary Amendment.

( ) Information Disclosure Statement and Form PTO-1449.

(X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

(X) Request for Certification (**NON-PUBLICATION**) under 35 U.S.C. 122(b)(2)(B)(i).

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	18	0	\$18.00	\$ 0.00
Independent Claims	2	0	\$80.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$710.00
Total Filing Fee				\$ 750.00

(X) Please charge the total filing fee of \$ 750.00 to Deposit Account No. 50-1217 (Order No. **NETAP011**).(X) At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account No. 50-1217 (Order No. **NETAP011**).

Respectfully submitted,

By: Jung-hua Kuo  
Jung-hua Kuo, Reg. No. 41,918

Date: August 2, 2001

Correspondence Address:

**CUSTOMER NUMBER 23689**

Jung-hua Kuo  
Attorney at Law  
P.O. Box 3275  
Los Altos, CA 94024  
Phone: 650-988-8070  
Fax: 650-988-8090

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: Jung-hua Kuo

Typed Name: Jung-hua Kuo

Express Mail Label No.: EL 763525587 US

Date of Deposit: August 2, 2001

**COPY**

Client Reference No. 01.017.01  
Attorney Docket No. NETAP011

**APPLICATION FOR UNITED STATES PATENT**

**SYSTEM AND METHOD TO VERIFY TRUSTED STATUS OF  
PEER IN A PEER-TO-PEER NETWORK ENVIRONMENT**

**INVENTORS:** Victor Kouznetsov  
20287 SW Tremont Way  
Aloha, OR 97007  
A Citizen of Russia

Charles L. Vigue  
PO Box 1736  
Lapine, OR 97007  
A Citizen of United States

Martin Fallenstedt  
9045 S.W. 182<sup>nd</sup> Avenue  
Beaverton, OR 97007  
A Citizen of United States

Daniel Melchione  
10380 SW 152<sup>nd</sup> Terrace  
Beaverton, OR 97739  
A Citizen of United States

**ASSIGNEE:** Networks Associates Technology, Inc.  
3965 Freedom Circle  
Santa Clara, CA 95054  
A Delaware Corporation

**ENTITY:** Large

Jung-hua Kuo  
Attorney at Law  
P.O. Box 3275  
Los Altos, CA 94024  
Tel: (650) 988-8070  
Fax: (650) 988-8090

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Kouznnetsov et al.

Application No: 09/922,329

Filed: August 2, 2001

Title: System And Method To Verify Trusted  
Status Of Peer In A Peer-To-Peer  
Network Environment

Attorney Docket No. NETAP011

Examiner: Unknown

Art Unit: 2151

Assistant Commissioner for Patents  
Washington, DC 20231

FAX RECEIVED  
FEB 06 2003  
PETITIONS OFFICE

Sir:

Transmitted herewith is a Petition Under 37 CFR §1.182 To Change Order Of Inventors in the above-identified application.

- ☒ Please charge \$130.00 petition fee under 37 CFR §1.17(h) to Deposit Account No. 50-1217 (Order No. NETAP011). A copy of this sheet is enclosed.
- ☒ If the required fees are missing or any additional fees are required during the pendency of the subject application, please charge such fees or credit any overpayment to Deposit Account No. 50-1217 (Order No. NETAP011). A copy of this sheet is enclosed.

Respectfully submitted,



Jung-hua Kuo  
Reg. No. 41,918  
P.O. Box 3275  
Los Altos, CA 94024  
Tel: (650) 988-8070  
Fax: (650) 988-8090

## Deposit Account Statement

Page 1 of 1



Return To:

USPTO  
Home  
PageFinance  
Home  
Page

## Deposit Account Statement

Requested Statement Month:

October 2001

Deposit Account Number:

501217

Name:

JUNG-HUA KUO

Attention:

Address:

P.O. BOX 3275

City:

LOS ALTOS

State:

CA

Zip:

94024

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/02	146	09921940	NETAP009	122	\$130.00	\$2,751.00
10/02	147	09921521	NETAP010	122	\$130.00	\$2,621.00
10/02	148	09921543	NETAP008	122	\$130.00	\$2,491.00
10/02	159	09921941	NETAP007	122	\$130.00	\$2,361.00
10/11	24	09922329	NETAP011	122	\$130.00	\$2,231.00
10/12	172	09346786	BCLCP001	242	\$640.00	\$1,591.00
10/12	173	09346786	BCLCP001	561	\$6.00	\$1,585.00
10/19	6	E-REPLENISHMENT		701	-\$1,000.00	\$2,585.00

FAX RECEIVED

FEB 06 2003

PETITIONS OFFICE

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$2,881.00	\$1,296.00	\$1,000.00	\$2,585.00

Need Help? | [Return to USPTO Home Page](#) | [Return to Office of Finance Home Page](#)



FAX RECEIVED  
FEB 06 2003  
PETITIONS OFFICE

Atty. Docket No. NETAP011      App. No. 09/922,329  
Inventors: Kouznetsov et al.      By: Jung-hua Kuo  
Filing Date: August 2, 2001      Date: October 3, 2001.

For: System and Method to Verify Trusted Status of Peer in a  
Peer-to-Peer Network Environment

The following have been received in the U.S. Patent and  
Trademark Office on the data stamped hereon:

1. Transmittal (1 page in duplicate);
2. Petition Under 37 CFR §1.182 to Change Order of Inventors  
(2 pages);
3. Copies of Filing Receipt, Utility Patent Application  
Transmittal and patent application cover sheet (3 pages); and
4. This postcard.

FAX RECEIVED

FEB 06 2003

PETITIONS OFFICE

Atty. Docket No. NETAP011      App. No. 09/922,329  
Inventors: Kouznetsov et al.      By: Jung-hua Kuo  
Filing Date: August 2, 2001      Date: October 3, 2001.

For: System and Method to Verify Trusted Status of Peer in a  
Peer-to-Peer Network Environment

The following have been received in the U.S. Patent and  
Trademark Office on the data stamped hereon:

1. Transmittal (1 page in duplicate);
2. Petition Under 37 CFR §1.182 to Change Order of Inventors  
(2 pages);
3. Copies of Filing Receipt, Utility Patent Application  
Transmittal and patent application cover sheet (3 pages); and
4. This postcard.

OCT 17 2001

OCT 09 2001